

Guide for ESG Program Beneficiaries Review			
Name of Grantee:			
Staff Consulted:			
Recipient Agency or Organization:		Project Name:	
Name(s) of Reviewer(s)		Date	

NOTE: All questions that address requirements contain the citation for the source of the requirement (statute, regulation, or grant agreement). If the requirement is not met, HUD must make a finding of noncompliance. All other questions (questions that do not contain the citation for the requirement) do not address requirements, but are included to assist the reviewer in understanding the participant's program more fully and/or to identify issues that, if not properly addressed, could result in deficient performance. Negative conclusions to these questions may result in a "concern" being raised, but not a "**finding**."

Instructions: This Exhibit is designed to determine whether beneficiary eligibility has been adequately documented in terms of homelessness status upon entry in an ESG-funded project. The sample selection guidance in Section 9-3 in the introduction to this Chapter should be used for the project. HUD reviewers should request a listing of the project's current beneficiaries, including their entry dates. Randomly select from the lists or lists a representative sample of beneficiaries' files to review and use in responding to the questions in this Exhibit. The files used here can also be used to answer questions in Exhibit 9-2, "Guide for Review of ESG Housing."

Questions:

1. Beneficiary Sample Selection

Complete the table below using the information from the selected beneficiary sample. (Add more lines or attach another sheet, if needed.)				
NAME (if appropriate)	CASE NUMBER	ADDRESS	FORMER (F) OR CURRENT (C) BENEFICIARY?	ENTRY DATE

Exhibit 9-4
Emergency Shelter Grants (ESG) Program

2.

Does a review of the beneficiary files for non-homeless prevention activities adequately document that the individuals or families were homeless prior to residency? [McKinney-Vento Act, 42 USC 11302 & 24 CFR 576.3, Definitions: Homeless]	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Describe Basis for Conclusion: <div style="height: 100px; border: 1px solid black;"></div>		

3.

Is there at least one homeless person or formerly homeless person participating in the policy decision-making process regarding projects receiving ESG funds? [McKinney Act, 42 USC 11375(d) and 24 CFR 576.56(b)(1)]	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Describe Basis for Conclusion: <div style="height: 100px; border: 1px solid black;"></div>		

4.

Are homeless persons, to the extent possible, involved in project development, operations and the provision of supportive services? [McKinney Act, 42 USC 11375(c) and 24 CFR 576.56(b)(2)]	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Describe Basis for Conclusion: <div style="height: 100px; border: 1px solid black;"></div>		

Exhibit 9-4
Emergency Shelter Grants (ESG) Program

6509.2 REV-5

5.

For projects serving domestic violence victims, is there evidence to support that the grantee has established written procedures regarding confidentiality of client records and the address/location of any project serving domestic violence victims? [McKinney-Vento Act, 42 USC 11375(c) and 24 CFR 576.56(a)(2)]	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A
Describe Basis for Conclusion:			

6.

(a) Does the grantee have a written policy for the termination of beneficiaries? [McKinney-Vento Act, 42 USC 11375(e) and 24 CFR 576.56(a)(3)]	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Describe Basis for Conclusion:		

(b) If no written guidance is available, interview staff to determine how terminations are handled.
Describe Basis for Conclusion:

(c) If beneficiaries have been terminated during the program year under review, does a file review indicate that the minimum due process requirements for termination (and, if applicable, the established policy guidance) were followed? [McKinney-Vento Act, 42 USC 11375(e) and 24 CFR 576.56(a)(3)]	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A
Describe Basis for Conclusion:			